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General information about company

| | |
|--|--|
| Scrip code | 531652 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE528C01018 |
| Name of the company | IRDWAVE FINANCIAL INTERMEDIARIES LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2019 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

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| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|---------|--|--------|-----------------------------|--------------------|--------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | Yes | | | |

Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rightsheld in each class of securities (X) | | | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (XI) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (X) (a) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) = (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XIII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|--------------|------------------------------|----------------------------|--|--|---|--|---|---|-------------|-------|---|--|---|---|-----------------------------------|---------|---|----------------|---|---------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | | | | | Total as a % of (A+B+C) | No. (a) | As a % of total Shares held (b) | No. (a) | | As a % of total Shares held (b) |
| | | | | | | | | Class eg: X | Class eg: Y | Total | | | | | | | | | | |
| (A) | Promoter & Promoter Group | 3 | 1007800 | | | 1007800 | 45.65 | 10,07,800.00 | | | | | 45.65 | | | | | 1007800 | | |
| (B) | Public | 249 | 1199800 | | | 1199800 | 54.35 | 1199800.00 | | | | | 54.35 | | | | | 735700 | | |
| (C) | Non Promoter- Non Public | | | | | | | | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | | | | | | | | | |
| (C2) | Trusts | | | | | | | | | | | | | | | | | | | |
| | Total | 252 | 2207600 | | | 2207600 | 100 | 2207600.00 | | | | | 100 | | | | | 1743500 | | |

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (XI) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a) | Shareholding - as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XIII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|---|--|----------------------------|--|--|---|--|---|---|-------------|----------------|---|--|--|--|-------------------------------------|-----|---|----------------|---|---------------------------------|
| | | | | | | | | No of Voting (XV) Rights | | | | | | | Total as a % of Total Voting rights | No. | As a % of total Shares held (b) | No. | | As a % of total Shares held (b) |
| | | | | | | | | Class eg: X | Class eg: Y | Total | | | | | | | | | | |
| A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | | | | | | | | | | |
| (1) Indian | | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 1 | 148910 | | | 148910 | 6.75 | 148910.00 | | 148910 | 6.75 | | 6.75 | | | | | 148910 | | |
| (b) | Central Government/ State Government(s) | | | | | | | | | | | | | | | | | | | |
| (c) | Financial Institutions/ Banks | | | | | | | | | | | | | | | | | | | |
| (d) | Any Other (Specify) | 2 | 858890 | | | 858890 | 38.91 | 858890.00 | | 858890 | 38.91 | | 38.91 | | | | | 858890 | | |
| | Sub-Total (A)(1) | 3 | 1007800 | | | 1007800 | 45.65 | 1007800.00 | | 1007800 | 45.65 | | 45.65 | | | | | 1007800 | | |
| (2) Foreign | | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals (Non-Resident Individuals/ Foreign Individuals) | | | | | | | | | | | | | | | | | | | |
| (b) | Government | | | | | | | | | | | | | | | | | | | |
| (c) | Institutions | | | | | | | | | | | | | | | | | | | |
| (d) | Foreign Portfolio Investor | | | | | | | | | | | | | | | | | | | |
| (e) | Any Other (Specify) | | | | | | | | | | | | | | | | | | | |
| | Sub-Total (A)(2) | | | | | | | | | | | | | | | | | | | |
| | Total Shareholding of Promoter and Promoter Group (A)=(A1)+(A2) | 3 | 1007800 | | | 1007800 | 45.65 | 1007800.00 | | 1007800 | 45.65 | | 45.65 | | | | | 1007800 | | |
| Details of Shares which remain unclaimed for Promoter & Promoter Group | | | | | | | | | | | | | | | | | | | | |

| B Table III - Statement showing shareholding pattern of the Public shareholder Note : Kindly show details of shareholders having more than one percentage of total no of shares. Please refer software manual. | | | | | | | | | | | | | | | | | | |
|--|--|------------|----------------|--|--|----------------|--------------|-------------------|--|----------------|--------------|--|--------------|--|--|--|--|---------------|
| (1) Institutions | | | | | | | | | | | | | | | | | | |
| (a) | Mutual Funds | 1 | 136200 | | | 136200 | 6.17 | 136200.00 | | 136200 | 6.17 | | 6.17 | | | | | 0 |
| (b) | Venture Capital Funds | | | | | | | | | | | | | | | | | |
| (c) | Alternate Investment Funds | | | | | | | | | | | | | | | | | |
| (d) | Foreign Venture Capital Investors | | | | | | | | | | | | | | | | | |
| (e) | Foreign Portfolio Investors | | | | | | | | | | | | | | | | | |
| (f) | Financial Institutions/ Banks | | | | | | | | | | | | | | | | | |
| (g) | Insurance Companies | | | | | | | | | | | | | | | | | |
| (h) | Pension Funds/ Pension Funds | | | | | | | | | | | | | | | | | |
| (i) | Any Other (Specify) | | | | | | | | | | | | | | | | | |
| | Sub-Total (B)(1) | 1 | 136200 | | | 136200 | 6.17 | 136200.00 | | 136200 | 6.17 | | 6.17 | | | | | 0 |
| (2) | Central Government/ State Government(s)/ President of India | | | | | | | | | | | | | | | | | |
| | Sub-Total (B)(2) | | | | | | | | | | | | | | | | | |
| (3) Non-institutions | | | | | | | | | | | | | | | | | | |
| (a)(i) | Individuals - Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 233 | 371959 | | | 371959 | 16.85 | 371959.00 | | 371959 | 16.85 | | 16.85 | | | | | 119059 |
| (a)(ii) | Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 5 | 294200 | | | 294200 | 13.33 | 294200.00 | | 294200 | 13.33 | | 13.33 | | | | | 229200 |
| (b) | NBFCs registered with RBI | | | | | | | | | | | | | | | | | |
| (c) | Employee Trusts | | | | | | | | | | | | | | | | | |
| (d) | Overseas Depositories (holding DRs) (balancing figure) | 10 | 397441 | | | 397441 | 18.00 | 397441.00 | | 397441 | 18.00 | | 18.00 | | | | | 387441 |
| (e) | Any Other (Specify) | | | | | | | | | | | | | | | | | |
| | Sub-Total (B)(3) | 248 | 1063600 | | | 1063600 | 48.18 | 1063600.00 | | 1063600 | 48.18 | | 48.18 | | | | | 735700 |
| | Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | 249 | 1199800 | | | 1199800 | 54.35 | 1199800.00 | | 1199800 | 54.35 | | 54.35 | | | | | 735700 |
| Details of the shareholders acting as persons in Concert for Public | | | | | | | | | | | | | | | | | | |
| Details of Shares which remain unclaimed for Public | | | | | | | | | | | | | | | | | | |

| C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | | | | | | | | | |
|--|---|------------|----------------|--|--|----------------|---------------|-------------------|--|----------------|---------------|--|---------------|--|--|--|--|----------------|
| (1) | Employees/DR - holder - Name of DR holders, DR Available | | | | | | | | | | | | | | | | | |
| (2) | Employees Benefit Trust (under SEBI) (Share based Employees Benefit) (Regulations 2014) | | | | | | | | | | | | | | | | | |
| | Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2) | | | | | | | | | | | | | | | | | |
| | Total (A+B+C) | 252 | 2207600 | | | 2207600 | 100.00 | 2207600.00 | | 2207600 | 100.00 | | 100.00 | | | | | 1743500 |
| | Total (A+B+C) | 252 | 2207600 | | | 2207600 | 100.00 | 2207600.00 | | 2207600 | 100.00 | | 100.00 | | | | | 1743500 |

| | | | | | | | | | | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| Disclosure of notes on shareholding pattern | | | | | | | | | | <input type="button" value="Add Notes"/> | | | | | | | | |
| Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage | | | | | | | | | | <input type="button" value="Add Notes"/> | | | | | | | | |
| Disclosure of notes in case of public share holding is less than 25 percentage | | | | | | | | | | <input type="button" value="Add Notes"/> | | | | | | | | |
| Disclosure of notes on shareholding pattern for company remarks explanatory | | | | | | | | | | <input type="button" value="Add Notes"/> | | | | | | | | |