Annexure - I

# 1 Name of Listed Entity : THIRDWAVE FINANCIAL INTERMEDIARIES LTD.

- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) ( b ) : Quarter Ended 30/06/2020
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5 The tabular format for disclosure of holding of specified securities is as follows:-

## Table I - Summary Statement holding of specified securities

	,																	
Categor	Category of shareholder	Nos. of	No. of fully paid-	No. of partly	No. of shares	Total nos. shares		Number of Vot	ing Rights he	ld in each class o	of securities		Shareholding as					Number of equity
У	(II)	shareholde	up equity	paid-up	underlying	held	g as a % of		(1	X)		Underlying	a % assuming	(XI	I)	otherwise en	cumbered	shares held in
(1)		rs		equity shares		(VII) =	total no. of					convertible	full conversion			(Xli	1)	dematerialized
		(111)	(IV)	held (V)	Receipt (VI)	(IV)+(V)+(VI)	shares (calculated					securities (Including	of convertible securities (as a			1		form (XIV)
				(•)	(VI)		as per SCRR,	No	. of Voting Rig	hts	Total as a %	Warrants)	percentage of	No. (a)	As a % of total Shares held	No.	As a % of total Shares held	(///*)
							1957)				of	(X)	diluted share			(a)		
							(VIII) As a %	Class eg:x	Class eg:y	Total	(A+B+C)		capital)	(b)			neid (b)	
							of (A+B+C2)						(XI)=(VII)+(X) as	(5)	(5)		(5)	
													a % of (A+B+C)					
(A)	Promoter & Promoter Group	3	1007800	0	0	1007800	45.651	1007800	0	1007800	45.651	0	45.651	0	0.000	0	0.000	1007800
(B)	Public	265	1199800	0	0	1199800	54.349	1199800	0	1199800	54.349	0	54.349	0	0.000	0	0.000	735700
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	268	2207600	0		2207600	100.000	2207600	0	2207600	100.000	0	100.000	0	0.000	0	0.000	1743500

#### Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Tabl	e II - Statement showing shareholding pattern of the P																		
	Category & Name of shareholders	PAN (II)	Nos. of shareholders	No. of fully paid-up equity shares held		Nos. of shares	Total nos. shares held	Shareholding % calculated	Number of Votin		eld in each class IX)	of securities	No. of Shares Underlying	Shareholding as a % assuming	Number of Lock (XII)		Number of Share otherwise en		Number of equity shares held in
	(1)	(II)	snareholders (III)	equity snares neid (IV)	paid-up equity shares	underlying	neia (VII) = (IV)+(V)+(VI)	as per SCRR,			(IX)		Outstanding	a % assuming full conversion	(XII)		otherwise en		dematerialized form
			()	()	held	Depository	(•,=(,.(•,.(•)	1957					convertible	of convertible			(700)	,	(XIV)
					(V)	Receipt		As a % of					securities	securities (as a					
						(VI)		(A+B+C2) (VIII)	Na	of Votina R	abta	Total as a %	(Including Warrants)	percentage of diluted share	No.	As a % of	No.	As a % of	-
								(*11)	NO.	or voting it	gins	of Total	(X)	capital)	(a)	total Shares	(a)	total Shares	
									Class	Class	Total	Voting		(XI)=		held		held	
									x	y		rights		(VII)+(X) as a %		(b)		(b)	
(1)	Indian		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals/Hindu undivided Family		1	148910	0	0	148910	6.745	148910	0	148910	6.745	0	6.745	0	0.000	0	0.000	148910
	SIDDHANT AGARWAL	AUKPA5600Q	0	148910	0	0	148910	6.745	148910	0	148910	6.745	0	6.745	0	0.000	0	0.000	148910
(b)	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		2	858890	0	0	858890	38.906	858890	0	858890	38.906	0	38.906	0	0.000	0	0.000	858890
	PATRIACH DEVELOPERS PRIVATE LIMITED	AACCP7052Q	0	701890	0	0	701890	31.794	701890	0	701890	31.794	0	31.794	0	0.000	0	0.000	701890
	PRUDENT MANAGEMENT & INDUSTRIAL CONSULTA	AABCP9497Q	0	157000	0	0	157000	7.112	157000	0	157000	7.112	0	7.112	0	0.000	0	0.000	157000
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)		3	1007800	0	0	1007800	45.651	1007800	0	1007800	45.651	0	45.651	0	0.000	0	0.000	1007800
(2)	Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		3	1007800	0	0	1007800	45.651	1007800	0	1007800	45.651	0	45.651	0	0.000	0	0.000	1007800

#### Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders	No. of fully paid up equity	paid-up	Nos. of shares	Total nos. shares held Shareholdin (VII) = (IV)+(V)+(VI) % calculate		Number of Voting		ld in each class o X)	f securities	No. of Shares	Shareholding as a % assuming full	Number of I shar	es	pledged of	of Shares or otherwise	Number of equity shares held in
			(111)	shares held (IV)	equity shares held (V)	s underlying Depository Receipt		as per SCRR, 1957 As a % of	No. o	of Voting R	ights	Total as a % of	Underlying Outstanding convertible	conversion of convertible securities (as a percentage of	(XII No. (a)	As a % of total	No.	As a % of total	held in dematerialized form
					()	(VI)		(A+B+C2) (VIII)	Class x	Class y	Total	Total Voting rights	securities (Including Warrants) (X)	(as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	(u)	Shares held (b)	(4)	Shares held (b)	(XIV)
																			<u> </u>
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		1	136200	0	0	136200	6.170	136200	0	136200	6.170	0	6.170	0	0.000	0	0.000	0
	J M TRUSTEE COMPANY LTD		0	136200	0	0	136200	6.170	136200	0	136200	6.170	0	6.170	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		1	136200	0	0	136200	6.170	136200	0	136200	6.170	0	6.170	0	0.000	0	0.000	0
(2)	Central Government/State Government(s)/President of India	1	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(-)	Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		243	367182	0	0	367182	16.633	367182	0	367182	16.633	0	16.633	0	0.000	0	0.000	114282
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		6	315200	0	0	315200	14.278	315200	0	315200	14.278	0	14.278	0	0.000	0	0.000	250200
	MANAV AGARWAL	ASUPA3322P	0	30000	0	0	30000	1.359	30000	0	30000	1.359	0	1.359	0	0.000	0	0.000	30000
	MEGHNA SHAH		0	25000	0	0	25000	1.132	25000	0	25000	1.132	0	1.132	0	0.000	0	0.000	0
	NIRMAL KUMAR AGARWAL		0	40000	0	0	40000	1.812	40000	0	40000	1.812	0	1.812	0	0.000	0	0.000	0
	SANJAY KUMAR AGARWAL	ACIPA3412G	0	100000	0	Ŷ	100000	4.530	100000	0	100000	4.530	0	4.530	0	0.000	0	0.000	100000
4.5	SAPNA AGARWAL	ACIPA3413H	0	99200	0	0	99200	4.494	99200	0	99200	4.494	0	4.494	0	0.000	0	0.000	99200
(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(C)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	-	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate HARIOM AGRO FOODS PRIVATE LIMITED	AACCH0148K	0	362064 200000	0	0	362064 200000	16.401	362064 200000	0	362064 200000	16.401	0	16.401 9.060	0	0.000	0	0.000	352064 200000
			-		-	-		9.060		0		9.060	-		-	0.000			
	UTSAV INDUSTRIES PVT LTD	AAACU4372N	0	96383	0	0	96383	4.366	96383	0	96383	4.366	0	4.366	0	0.000	0	0.000	96383
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Repatriable NRI - Non-Repatriable	<u> </u>	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
			0	0	0	0	0		0		0		0	0.000	-		0	0.000	0
	OCBs	<u> </u>	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts	<u> </u>	0	-	-	-	-		-		-		-		-				-
	Clearing Memebers		8	19154	0	0	19154	0.868	19154	0	19154	0.868	0	0.868	0	0.000	0	0.000	19154
	IEPF Authority Sub-Total (B)(3)		264	0 1063600	0	0	0 1063600	48.179	0 1063600	0	0 1063600	48.179	0	48.179	0	0.000	0	0.000	0 735700
				1000000	, , , , , , , , , , , , , , , , , , ,		1000000		1000000	Ŭ	1005000		Ű	.0.175	÷	0.000	Ľ	0.000	
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		265	1199800	0	0	1199800	54.349	1199800	0	1199800	54.349	0	54.349	0	0.000	0	0.000	735700

## Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid- up equity shares held (IV)	No. of partiy paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholdin g % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Voting Rights securi (IX of Voting Rig Class y	ties )	h class of Total as a % of Total Voting rights	No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of sha (XI No. (a)	res	Number of pledged or encum (XI No. (a)	otherwise bered	Number of equity shares held in dematerialize d form (XIV)
(1)	Custodian.DR Holder																	
(2)	Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014																	
	Total Non-Promoter - Non-Public Shareholding (C)=(C)(1)+(C)+(2)																	

### Table V - Statement showing shareholding details of significant beneficial owner

	Details of the significant beneficial owner (I)				ails of the registered (II)	owner	Details report				
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (IV)