

[Home](#)[Validate](#)

### General information about company

Scrip code	531652
Name of the company	<b>RDWAVE FINANCIAL INTERMEDIARIES LIMITED</b>
Whether company is SME	Yes
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

[Home](#)[Validate](#)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)				No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (XI) (a)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity Shares held in dematerialized form (XIV)
								No of Voting (XIV) Rights			Total as a % of (A+B+C)					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total										
(A)	Promoter & Promoter Group	3	807800			807800	36.59	8,07,800.00		8,07,800.00	36.59			36.59					807800	
(B)	Public	169	1399800			1399800	63.41	13,99,800.00		13,99,800.00	63.41			63.41					934500	
(C)	Non Promoter- Non Public																			
(C1)	Shares underlying DRs																			
(C2)	Shares held by Employee Trusts																			
	<b>Total</b>	<b>172</b>	<b>2207600</b>			<b>2207600</b>	<b>100</b>	<b>2207600.00</b>		<b>2207600.00</b>	<b>100.00</b>			<b>100</b>					<b>1742300</b>	

Home

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Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities(IX)			Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)
						No of Voting (XIV)Rights		Total as a % of Total Voting rights		
						Class eg: X	Total			
<b>A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>										
<b>(1) Indian</b>										
(a)	<a href="#">Individuals/Hindu undivided Family</a>	1	148910	148910	6.75	148910.00	148910	6.75	6.75	148910
(b)	<a href="#">Central Government/ State Government(s)</a>									
(c)	<a href="#">Financial Institutions/ Banks</a>									
(d)	<a href="#">Any Other (specify)</a>	2	658890	658890	29.85	658890.00	658890	29.85	29.85	658890
<b>Sub-Total (A)(1)</b>		<b>3</b>	<b>807800</b>	<b>807800</b>	<b>36.59</b>	<b>807800.00</b>	<b>807800</b>	<b>36.59</b>	<b>36.59</b>	<b>807800</b>
<b>(2) Foreign</b>										
(a)	<a href="#">Individuals (NonResident Individuals/ Foreign Individuals)</a>									
(b)	<a href="#">Government</a>									
(c)	<a href="#">Institutions</a>									
(d)	<a href="#">Foreign Portfolio Investor</a>									
(e)	<a href="#">Any Other (specify)</a>									
<b>Sub-Total (A)(2)</b>										
<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>		<b>3</b>	<b>807800</b>	<b>807800</b>	<b>36.59</b>	<b>807800.00</b>	<b>807800</b>	<b>36.59</b>	<b>36.59</b>	<b>807800</b>
<a href="#">Details of Shares which remain unclaimed for Promoter &amp; Promoter Group</a>										
<b>B Table III - Statement showing shareholding pattern of the Public shareholder</b> <span style="color: red;">Note : Kindly show details of shareholders having more than one percentage of total no of shares. Please refer software manual.</span>										
<b>(1) Institutions</b>										
(a)	<a href="#">Mutual Funds</a>	1	136200	136200	6.17	136200	136200	6.17	6.17	0
(b)	<a href="#">Venture Capital Funds</a>									
(c)	<a href="#">Alternate Investment Funds</a>									
(d)	<a href="#">Foreign Venture Capital Investors</a>									
(e)	<a href="#">Foreign Portfolio Investors</a>									
(f)	<a href="#">Financial Institutions/ Banks</a>									
(g)	<a href="#">Insurance Companies</a>									
(h)	<a href="#">Provident Funds/ Pension Funds</a>									
(i)	<a href="#">Any Other (specify)</a>									
<b>Sub-Total (B)(1)</b>		<b>1</b>	<b>136200</b>	<b>136200</b>	<b>6.17</b>	<b>136200.00</b>	<b>136200</b>	<b>6.17</b>	<b>6.17</b>	<b>0</b>
(2)	<a href="#">Central Government/ State Government(s)/ President of India</a>									
<b>Sub-Total (B)(2)</b>										
<b>(3) Non-institutions</b>										
(a(ii))	<a href="#">Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.</a>	152	371519	371519	16.83	371519	371519	16.83	16.83	117419

(a)(i)	<a href="#">Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</a>	5	294200	294200	13.33	294200	294200	13.33	13.33	229200
(b)	<a href="#">NBFCs registered with RBI</a>									
(c)	<a href="#">Employee Trusts</a>									
(d)	<a href="#">Overseas Depositories (holding DRs) (balancing figure)</a>									
(e)	<a href="#">Any Other (specify)</a>	11	597881	597881	27.08	597881	597881	27.08	27.08	587881
<b>Sub-Total (B)(3)</b>		168	1263600	1263600	57.24	1263600.00	1263600	57.24	57.24	934500
<b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>		169	1399800	1399800	63.41	1399800.00	1399800	63.41	63.41	934500
<a href="#">Details of the shareholders acting as persons in Concert for Public</a>										
<a href="#">Details of Shares which remain unclaimed for Public</a>										
<b>C</b>	<b>Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder</b>									
(1)	<a href="#">Custodian/DR Holder - Name of DR Holders (If Available)</a>									
(2)	<a href="#">Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)</a>									
<b>Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>										
<b>Total ( A+B+C2 )</b>		172	2207600	2207600	100.00	2207600.00	2207600	100.00	100.00	1742300
<b>Total (A+B+C)</b>		172	2207600	2207600	100.00	2207600.00	2207600	100.00	100.00	1742300
<b>Disclosure of notes on shareholding pattern</b>						<b>Add Notes</b>				